| ١                         | UTILITY  | Attorney Docket No.  | 218469US0                        |                                  |                                  |  |               |            |  |  |  |  |
|---------------------------|--|--|----------------------------------|----------------------------------|----------------------------------|--|---------------|------------|--|--|--|--|
| Į                         | PATENT APPLICATION   | First Inventor or Application  | n Identifier Man                 | fred SCHW                        | ARTZ                             |  | o             |            |  |  |  |  |
| Į                         | TRANSMITTAL<br>(Only for new nonprovisional applications under 37 CFR 1 53(b))   | Title AQUEOUS CO   | MPOSITIONS                       | FOR COAT                         | ING MET                          | TAL COMPONENTS                           | £_            | -          |  |  |  |  |
| ı                         |  | Assignee Name: BASF  | Aktiengesellsc                   | haft                             |                                  |  | 0.            | 1          |  |  |  |  |
|                           | <b>1</b> 2   | Assignee Address: 670  | 56 Ludwigshaf                    | en, GERMA                        | NY.                              |  | _= <u>`</u> = | 3          |  |  |  |  |
| i                         | 8  |  |                                  |                                  |                                  |  | 1             | Ξ          |  |  |  |  |
|                           | See MPEP chapter 600 concerning utility patent a   | TS<br>application contents   | ADDRESS TO                       | Assistar<br>D: Box Pat<br>Washin | nt Comm<br>ent Appli<br>gton, DC | issioner for Patents<br>ication<br>20231 | 315           | •          |  |  |  |  |
|                           | Fee Transmittal Form (e.g. PTO/SB/<br>(Submit an original and a duplicate for fee pro  | ACCOMPANYING APPLICATION PARTS   |                                  |                                  |                                  |  |               |            |  |  |  |  |
| 1                         |  |  | 7. 🔳 Assig                       | nment Pape                       | rs (cover                        | r sheet & document(                      | s))           |            |  |  |  |  |
| ì                         | 2. Specification Total   | Sheets 29  | 8. 🔳 Applie                      | cation Data                      | Sheet. S                         | ee 37 CFR 1.76 (2 p                      | p.)           |            |  |  |  |  |
| ı                         |  |  | 9. □ 37 C.<br>(when              | F.R. §3.73(b<br>there is an ass  | o) Statem<br>ignee)              | nent Dower of                            | Attorn        | ey         |  |  |  |  |
| l                         | 3. □ Formal Drawing(s) Total   | Sheets   | -                                |                                  |                                  | nent (if applicable)                     |               |            |  |  |  |  |
| I                         |  |  | 11. □ Inform                     | nation Disclo<br>ment (IDS)/I    | osure<br>PTO-144                 | 9 Copies of<br>Citations                 | IDS           |            |  |  |  |  |
| I                         |  | Pages 3  |                                  | •                                |                                  | /Marked-up Copy                          |               |            |  |  |  |  |
| ĺ                         | a. Newly executed original   |  | Advance S                        |                                  |                                  |  |               |            |  |  |  |  |
| 1                         | b. Copy from a prior application (3 (for continuation / divisional w/ box  | 14.   Certif   | ied Copy of<br>gn priority is cl | Priority D                       | ocument(s)                       |  |               |            |  |  |  |  |
|                           | i. DELETION OF INVENTO<br>Signed statement attached delet<br>the prior application, see 37 C.F.<br>1 33(b).  | ting inventor(s) named in<br>.R. §1 63(d)(2) and                       | 15. □ Applic<br>See 37           | cant claims s                    | small ent                        | ity status.                              |               |            |  |  |  |  |
|                           | <ol> <li>CD-ROM or CD-R in duplicate, large<br/>Program (Appendix)</li> </ol>  | e table or Computer  | 16. 🗆 Othe                       | er:                              |                                  |  |               |            |  |  |  |  |
| 1                         | 6. Nucleotide and/or Amino Acid Seque (if applicable, all necessary)   | ence Submission  |                                  |                                  |                                  |  |               |            |  |  |  |  |
| I                         | a.   Computer Readable Form (CRF   |  |                                  |                                  |                                  |  |               |            |  |  |  |  |
| 1                         | b. Specification or Sequence Listing or  | 1:   |                                  |                                  |                                  |  |               |            |  |  |  |  |
| t                         | i. □ CD-ROM or CD-R (2 ∞ pie   | es); or  |                                  |                                  |                                  |  |               |            |  |  |  |  |
| 1                         | ii. □ Paper  |  |                                  |                                  |                                  |  |               |            |  |  |  |  |
|                           | c.   Statements verifying identity of  | above copies   |                                  |                                  |                                  |  |               |            |  |  |  |  |
| 1                         | 17. If a CONTINUING APPLICATION, check   |  |                                  |                                  |                                  |  |               |            |  |  |  |  |
| 200                       | ☐ Continuation ☐ Divisional  | ☐ Continuation-  | in-part (CIP)                    | of prior a                       |                                  |  |               |            |  |  |  |  |
| E HAN                     | Prior application information: Examine   |  | r application from               |                                  | Group Art                        |  | 46            |            |  |  |  |  |
| 1                         | For CONTINUATION OR DIVISIONAL APPS only: The<br>considered a part of the accompanying continuation or c<br>when a portion has been inadvertently omitted from the | divisional application and is<br>submitted application parts           | hereby incorporati               | ed by reference                  | . The inco                       | rporation can only be re                 | lied upo      | ıs<br>upon |  |  |  |  |
| ١                         | 18. Amend the specification by inserting before  | ore the first line the se  | ntence:                          |                                  |                                  |  |               |            |  |  |  |  |
| I                         | ☐ This application is a ☐ Continuation   | □ Division   | □ Continuati                     | on-in-part (C                    | CIP)                             |  |               |            |  |  |  |  |
| ١                         | of application Serial No.  Which was published in English  | Filed on   |                                  |                                  |                                  |  |               |            |  |  |  |  |
| l                         | □ Which was not published in English   |  |                                  |                                  |                                  |  |               |            |  |  |  |  |
| I                         | ,  | This application claims priority of provisional application Serial No. |                                  |                                  |                                  |  |               |            |  |  |  |  |
| Ì                         | 19. CORRESPONDENCE ADDRESS   |  |                                  |                                  |                                  |  |               |            |  |  |  |  |
|                           |  | 228  | - A                              |                                  |                                  |  |               |            |  |  |  |  |
| -                         | <b>22850</b> (703) 413-3000  |  |                                  |                                  |                                  |  |               |            |  |  |  |  |
| FACSIMILE: (703) 413-2220 |  |  |                                  |                                  |                                  |  |               |            |  |  |  |  |
|                           | Name: Norman F. Oblon  |  | Registrati                       | on No.:                          | 24,618                           | $\neg$                                   |               |            |  |  |  |  |
|                           | Simon Valle  |  |                                  |                                  |                                  |  |               |            |  |  |  |  |
|                           | Signature:   |  |                                  | Date:                            | 2/4/02                           | ı  |               |            |  |  |  |  |

Registration No.:

P-50,552

Name: Vincent K. Shier, Ph.D

Docket No.

218469US0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE INVENTOR(S) Manfred SCHWARTZ, et al.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: AQUEOUS COMPOSITIONS FOR COATING METAL COMPONENTS

## FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS

WASHINGTON, D.C. 20231

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The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,

MAJER & NEUSTADT, P.C.

2/4/02 Date:

Norman F. Oblon

Registration No. 24,618

Vincent K. Shier, Ph.D. Registration No. P-50,552

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 10/00)

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